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**REQUEST  
FOR  
CONTINUED EXAMINATION  
(RCE)  
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,  
provides for continued examination of an utility or plant application  
filed on or after June 8, 1995.  
See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/471,825
Filing Date	December 23, 1999
First Named Inventor	Shun Lin et al.
Group Art Unit	1617
Examiner Name	L. Wells
Attorney Docket Number	J&J1882

TECH CENTER 1600/2B00

SEP 18 2002

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This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. **Submission required under 37 C.F.R. § 1.114**

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on  
(any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- iii. ☐ Other
- b. ☒ Enclosed
- i. ☒ Preliminary Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required.)
- b. ☐ Other

3. **Fees** - The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 10-0750.
- i. ☒ RCE fee is required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of Time (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other
- b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (print/type)	Andrea L. Colby	Registration No.	30,194
Signature	<i>Andrea L. Colby</i>	Date	September 5, 2002

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on: September 5, 2002

Name (print/type)	Andrea L. Colby	Date	September 5, 2002
Signature	<i>Andrea L. Colby</i>		

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J&J 1882

**IN THE UNITED STATES PATENT OFFICE**

Applicants: Shun Lin, et al.

Serial No.: 09/471,825

Art Unit: 1619

Filed: December 23, 1999

Examiner: L. Wells

For: CONTROLLED RELEASE COMPOSITION

I hereby certify that this correspondence is being sent via telefax  
to facsimile number (703) 872-9307 and addressed to:  
Commissioner of Patents, Washington, DC 20231 on

September 5, 2002

(Date of Deposit)

Andrea L. Colby

(Name of applicant, assignee, or Registered Representative)

*Andrea L. Colby*

(Signature)

September 5, 2002

(Date of Signature)

Commissioner of Patents  
Washington, D.C. 20231

**PRELIMINARY AMENDMENT**

Dear Sir:

This Amendment is respectfully submitted in response to the Final Rejection dated February 4, 2002 and the Advisory Action rendered July 19, 2002. It is timely submitted in view of their having filed a Notice of Appeal and in view of the fact that applicants have filed a Request for Continuing Examination submitted concurrently herewith. Applicants respectfully request entry of this Amendment as applicants respectfully submit that it places the claims in better form for allowance. Kindly amend the above-identified patent application as follows. Please note an appendix is attached hereto indicating the marked-up version of the amended claims.

**IN THE TITLE:**

Kindly delete the title and insert the following title therefor:

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